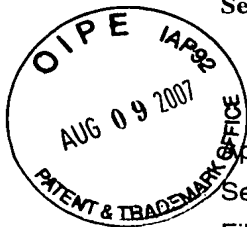


Customer No. 24498  
Serial No.: 10/555,617

PATENT  
PU030141

2611  
i/n



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Wen Gao et al.  
Serial No. : 10/555,617  
Filed : November 4, 2005  
For : APPARATUS AND METHOD FOR DECODING IN A HIERARCHICAL,  
MODULATION SYSTEM  
Examiner : Mohammad H. Ghayour  
Group Art Unit : 2611

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
☐ 2 Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ 3 Pursuant to 37 CFR 1.97(d)  
[after Final Office Action or Allowance, but prior to payment of Issue Fee]]

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 4 A list of documents on form PTO/SB/08a and/or PTO/SB/08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
☐ 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
[ ] (a) The required certification made in item 8(a) below; **OR**  
[ ] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.  
☐ 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of Issue Fee]; and  
[ ] (a) The required Certification made in item 8(a) below; **AND**  
[ ] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

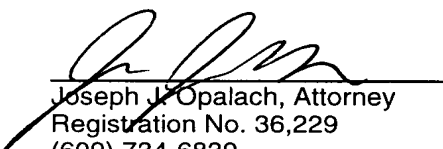
[XX] 8 Certification

- [XX] (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,  
WEN GAO ET AL.

By:

  
Joseph J. Opalach, Attorney  
Registration No. 36,229  
(609) 734-6839

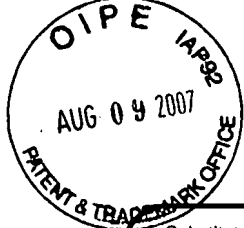
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PTO/SB/08b(08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number

10/555,617

Filing Date

November 4, 2005

First Named Inventor

Wen Gao et al.

Art Unit

2611

Examiner Name

Mohammad H. Ghayour

Attorney Docket Number

PU030141

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	Huber, Johannes, "Trellis Coding", 1992, Springer Verlag, pages 236-249, XP007902473§	X

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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